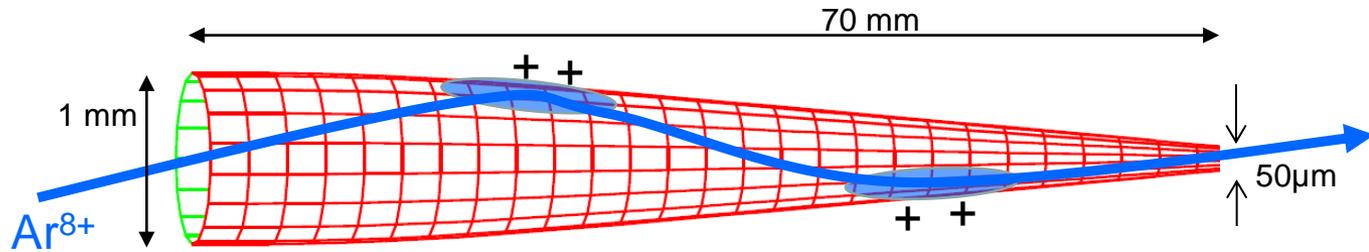


# CAPILLARIES AS SELF-ORGANIZED ELECTROSTATIC LENSES ?



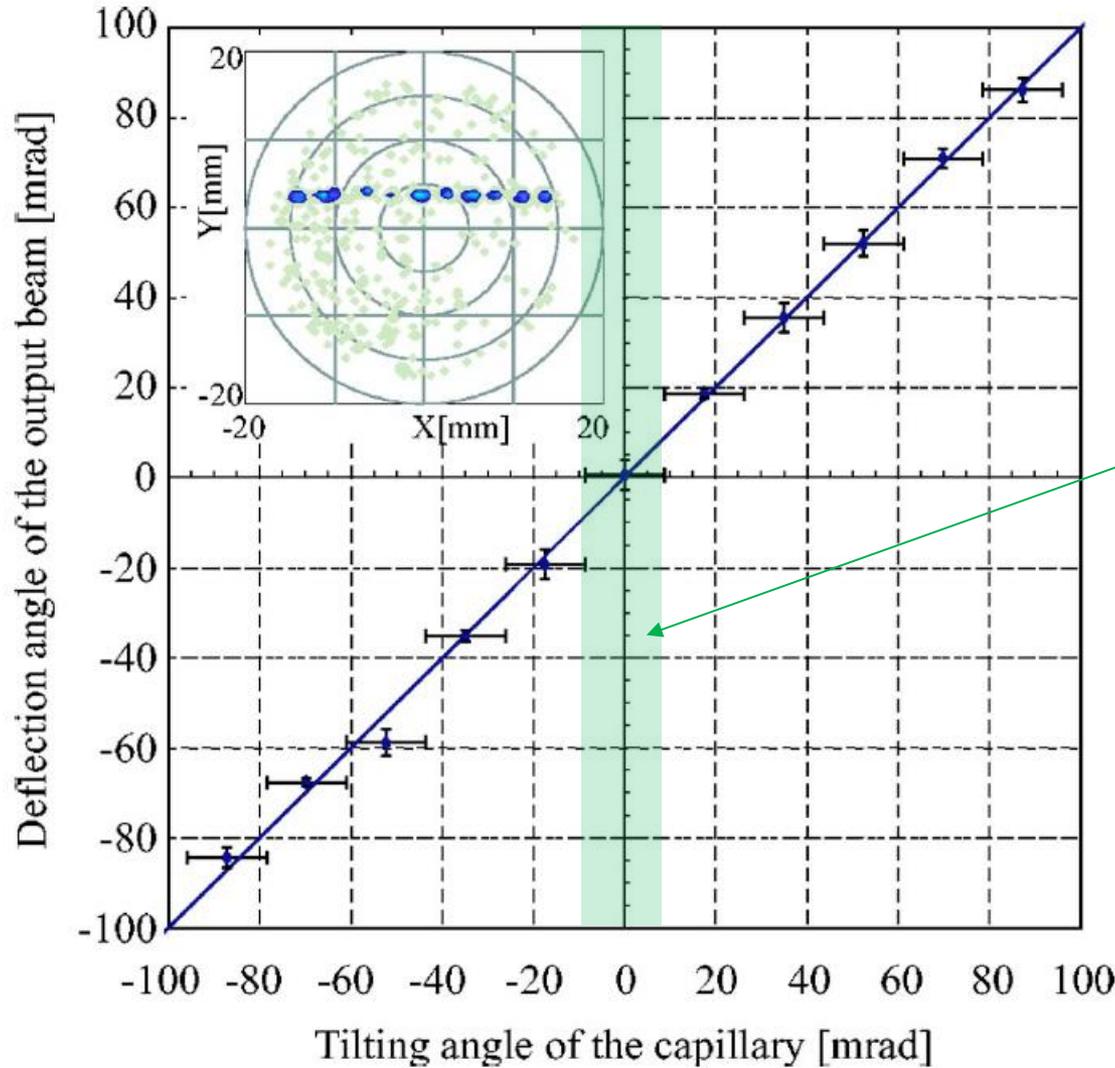
**Giglio Eric**

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Centre de Recherche sur les Ions, les Matériaux et la Photonique (CIMAP),  
F-14000, Caen

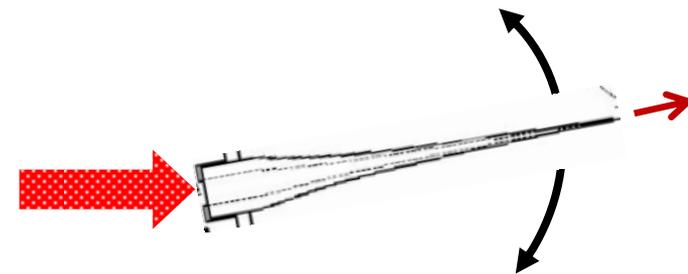


# Guiding power of insulating capillaries

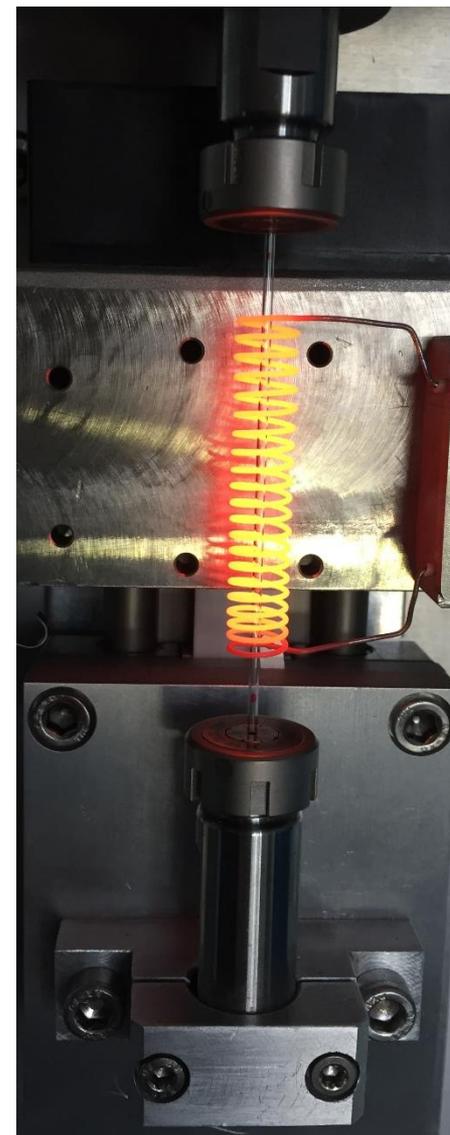


Extracted from T. Ikeda, Appl. Phys. Lett. **89**, 163502 (2006)

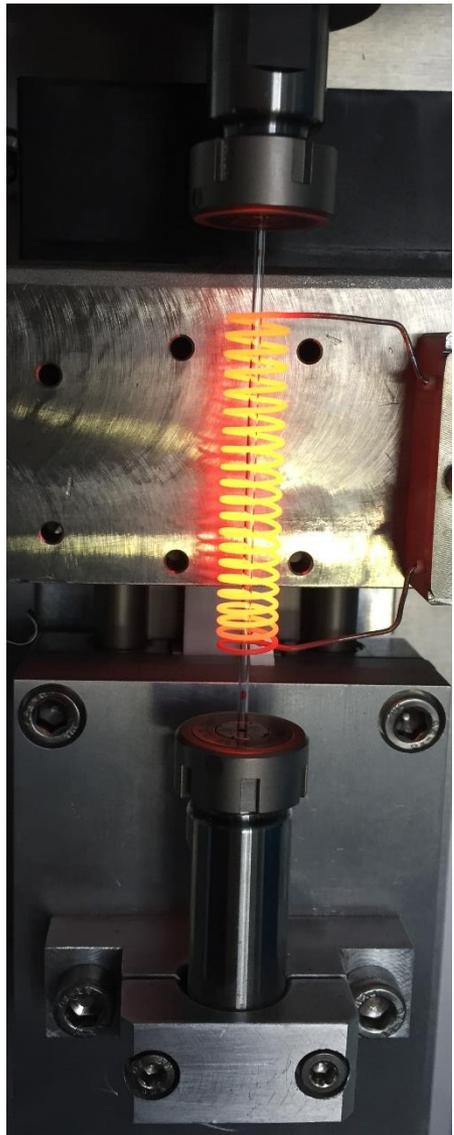
Geometrical transmission



Home-made conical glass capillaries, obtained by pulling a softened capillary glass tube



Home-made conical glass capillaries, obtained by pulling a softened capillary glass tube



**Can tapered capillaries be used to focus an ion beam?  
(Einzel lens)**



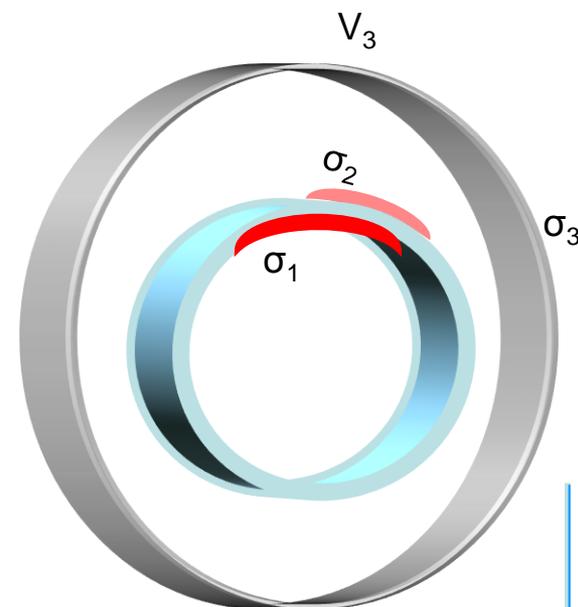
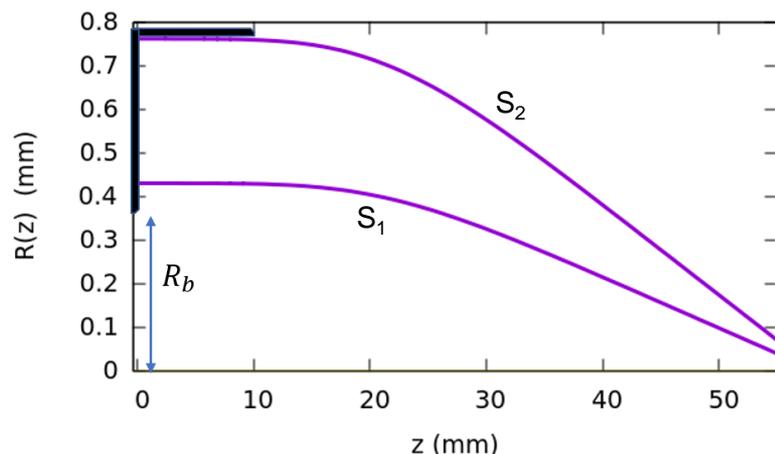
1) Write a numerical code that makes **reliable, quantitative predictions** for the beam transport through capillaries

2) Design new capillary holder in order to show experimentally the lens effect

# Numerical code for simulating the beam transport through glass capillaries, accounting for the charge dynamics in the glass wall

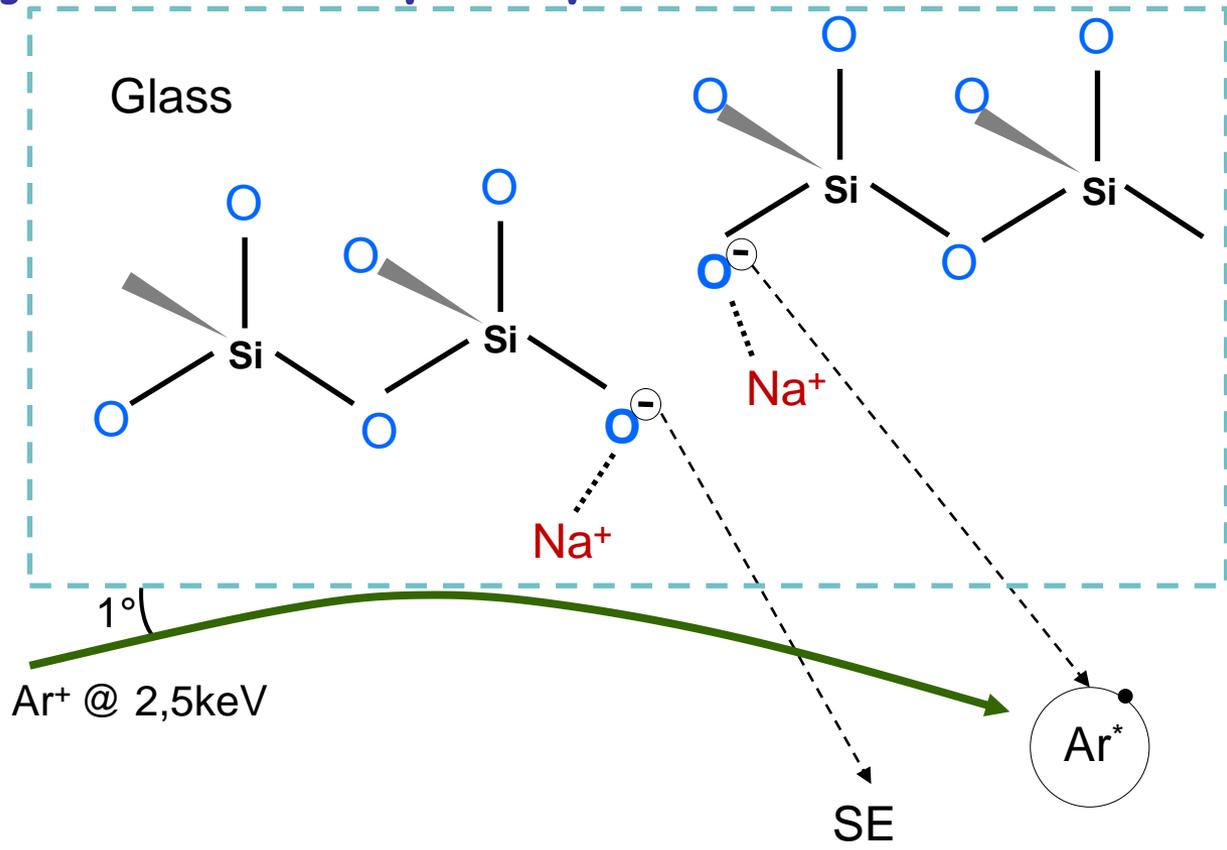
## InCa4D

- Capillary is described by the inner  $S_1$  and outer  $S_2$  glass-vacuum interfaces
- Capillary is surrounded by a conducting interface  $S_3$  \*\*
- Charges accumulate only at the interfaces  $S_1$ ,  $S_2$  and  $S_3$
- Entrance and 10 first mm are grounded



# Charge Injection at impact point

Non-bridging oxygens (NBO) are the most common hole centers (hole traps)



- At grazing angles, a low energy particle of charge  $q$  injects  $q + N_{se}$  holes at the impact point, which are quickly trapped by hole centers.
- The projectile is neutralized and  $N_{se}$  electrons are emitted

## + Features of InCa4D

- 1) Accounts for non-linearity of the bulk and surface conductivity ...
- 2) Accounts for image charge of the projectile
- 3) Accounts for secondary electrons generated at  $S_1$
- 4) Accounts for stray electrons at  $S_2$
- 5) Control over emittance and divergence of the injected beam by simulating the ion source upstream
- 6) Follows the trajectory of neutralized projectiles
- 7) CPU efficient !  $10^6$  trajectories in 24h on 1 CPU

# Surface charge dynamics

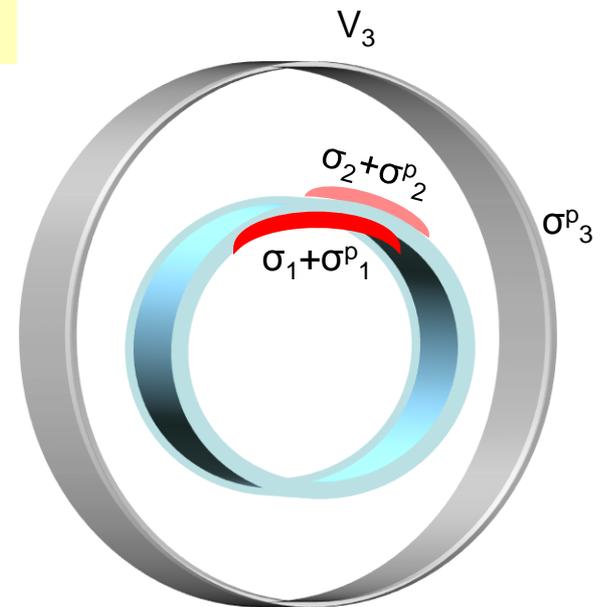
[ ] Giglio, E., Phys. Rev. A **101**, 052707 (2020)

$$\left\{ \begin{aligned} \frac{\partial \sigma_1}{\partial t} &= \kappa_s \vec{V}_s \cdot \vec{E}_1 - \kappa_b E_{n,1}^+ + \gamma^h + \gamma_{se}^e \\ \frac{\partial \sigma_2}{\partial t} &= \kappa_s \vec{V}_s \cdot \vec{E}_2 + \kappa_b E_{n,2}^- + \gamma_{str}^e \end{aligned} \right.$$

Charge dynamics is controlled by the ratio  $\frac{\kappa_b U_s}{I}$

Source potential  $\kappa_b U_s$

Beam current  $I$



# Surface charge dynamics

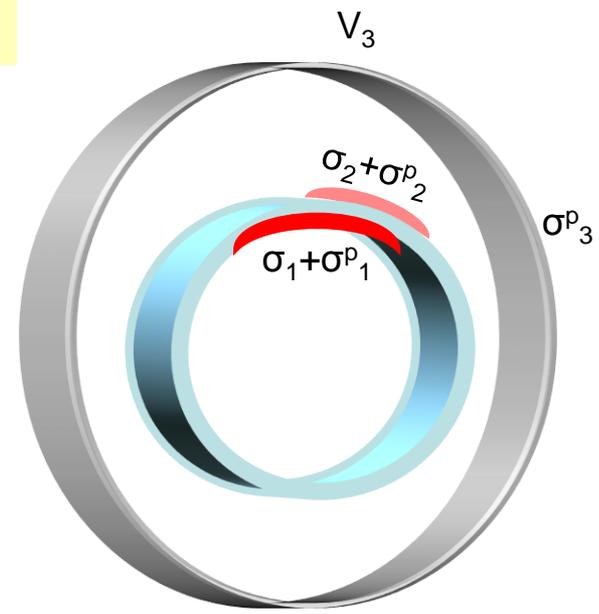
[ ] Giglio, E., Phys. Rev. A **101**, 052707 (2020)

$$\left\{ \begin{aligned} \frac{\partial \sigma_1}{\partial t} &= \kappa_s \vec{\nabla}_s \cdot \vec{E}_1 - \kappa_b E_{n,1}^+ + \cancel{\gamma^h + \gamma_{se}^e} \\ \frac{\partial \sigma_2}{\partial t} &= \kappa_s \vec{\nabla}_s \cdot \vec{E}_2 + \kappa_b E_{n,2}^- + \cancel{\gamma_{str}^e} \end{aligned} \right.$$

Charge dynamics is controlled by the ratio  $\frac{\kappa_b U_s}{I}$

Source potential  $U_s$

Beam current  $I$



Pyrex glass

|  |                                     |
|--|-------------------------------------|
| $\kappa_b$ (20°C)<br>(10 <sup>-13</sup> S/m) | $\kappa_s$<br>(10 <sup>-16</sup> S) |
|--|-------------------------------------|

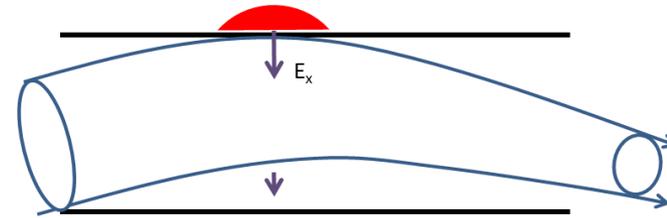
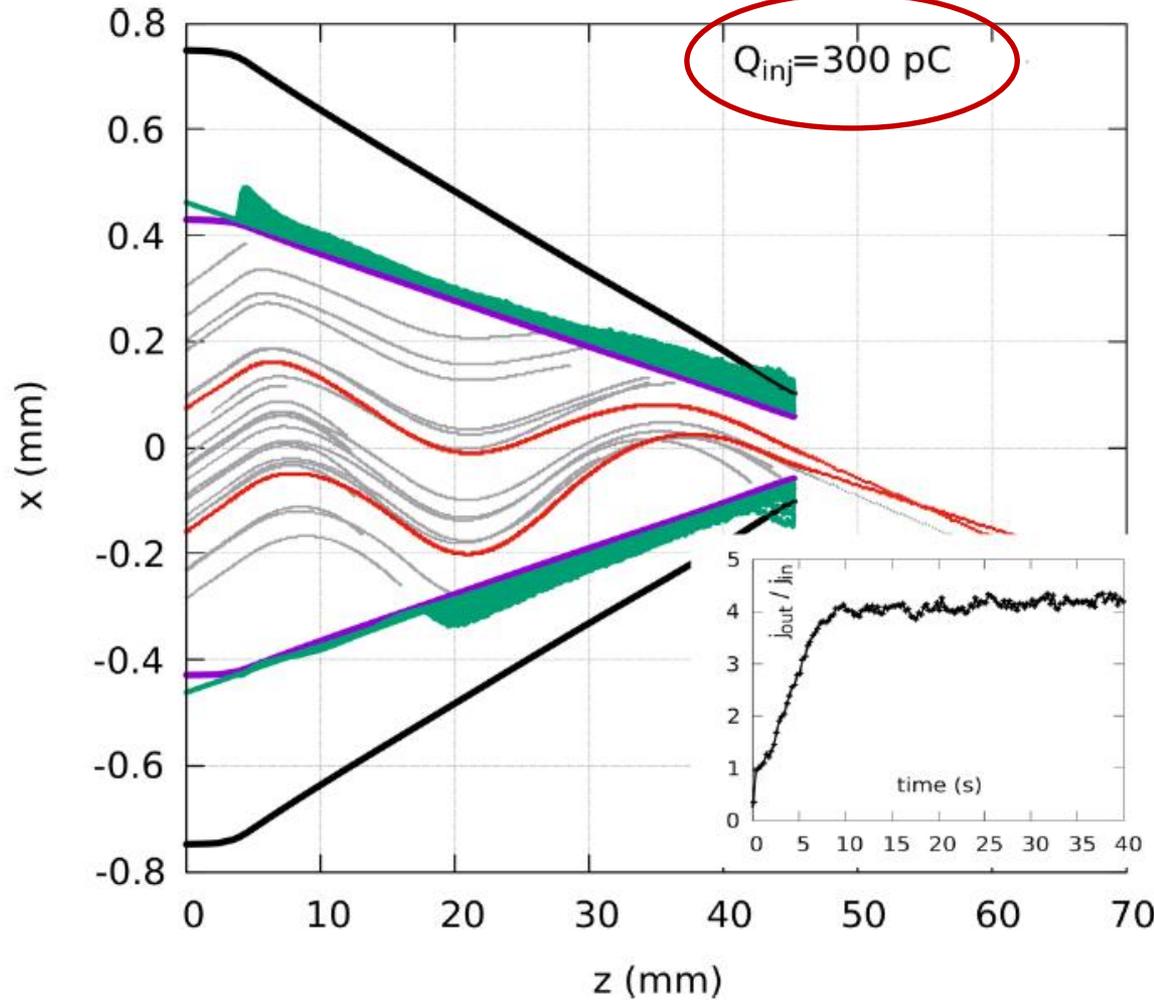


## Relevant Numerical Results

Two mechanisms that result in a denser transmitted beam have been identified

1. Transverse compression  
(non-zero tilt angle)
2. Self-Organized Radial focusing  
(zero tilt angle)

# 1) Beam compression by transverse fields for tilted capillaries



$$\frac{j_{out}}{j_{in}} \simeq 4$$



## Relevant Numerical Results

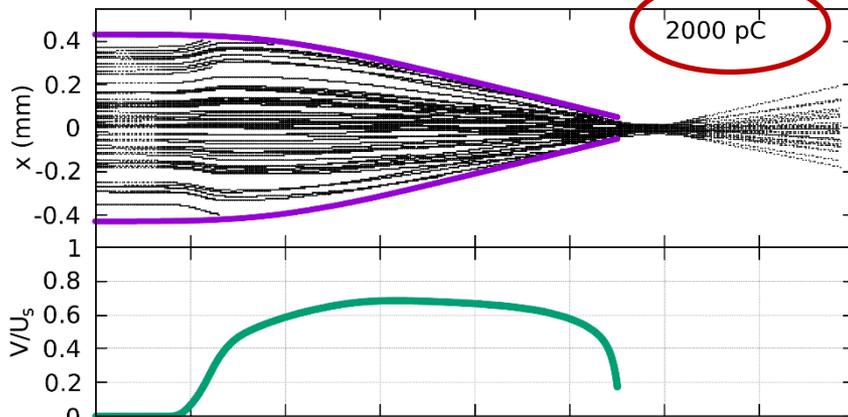
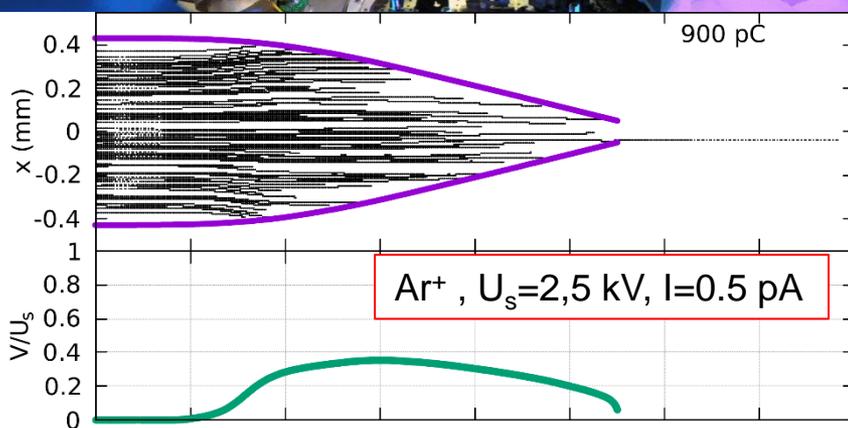
Two mechanisms that result in a denser transmitted beam have been identified

1. Transverse compression  
(non-zero tilt angle)
2. Self-Organized Radial focusing  
(zero tilt angle)

# Self-Organized Radial Focusing

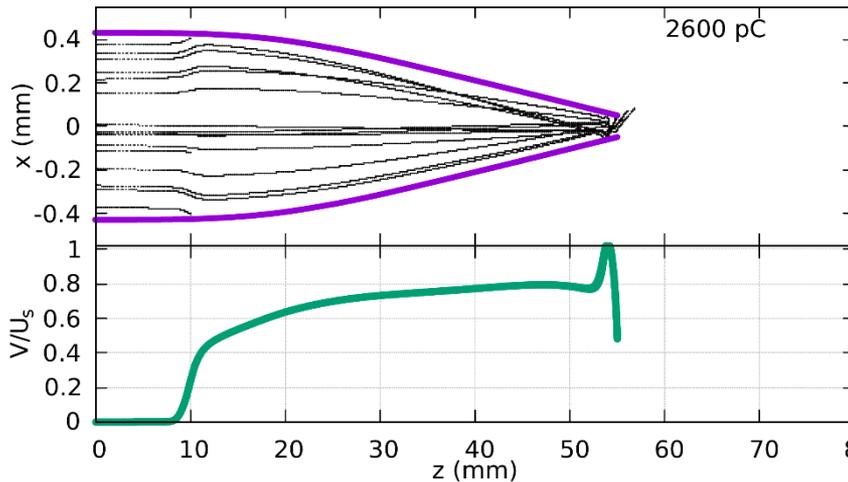
Geometrical transmission

$$V < 0.4 U_s$$



Focused beam,  
maximal transmission rate

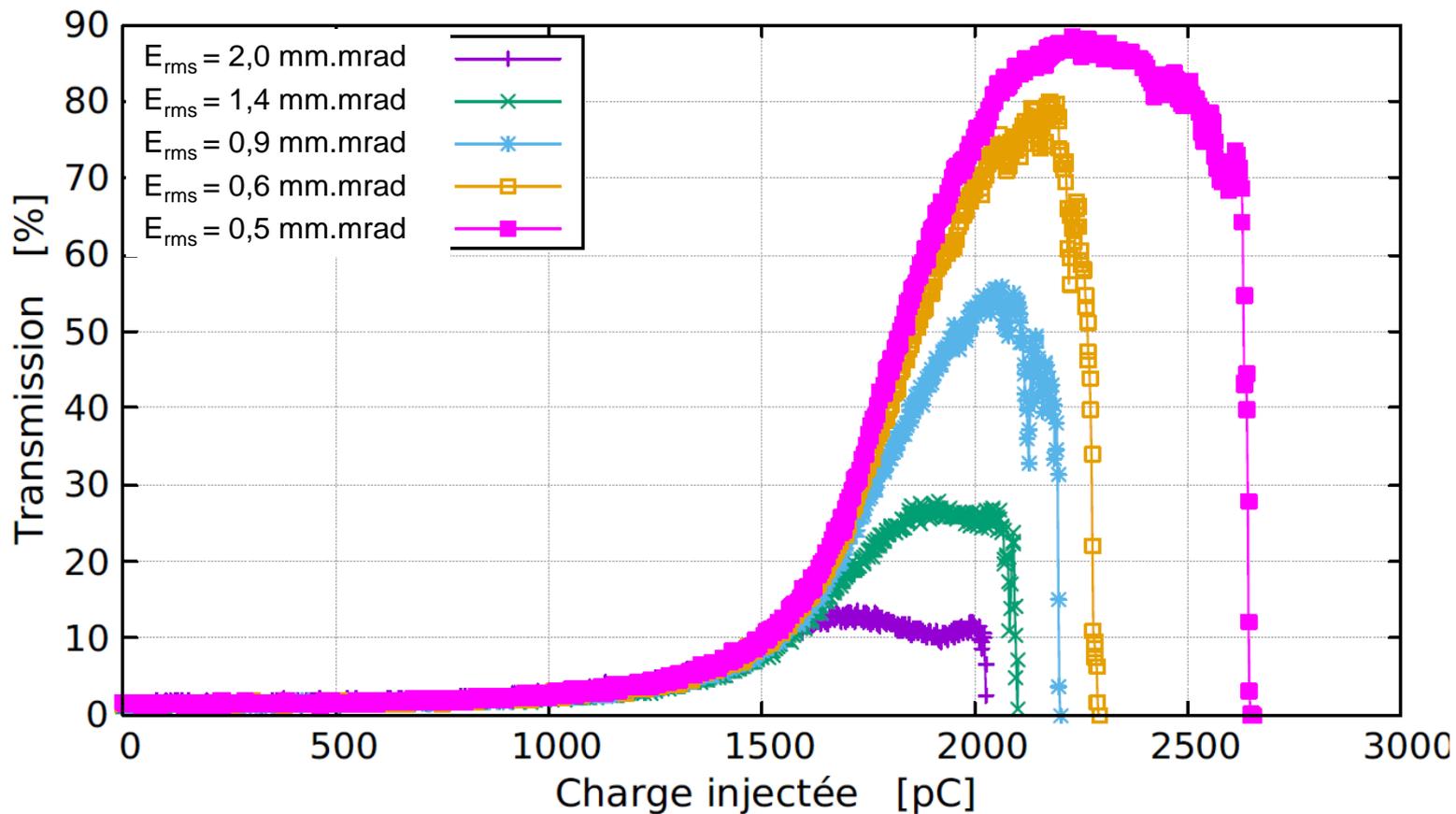
$$V \sim 0.7 U_s$$



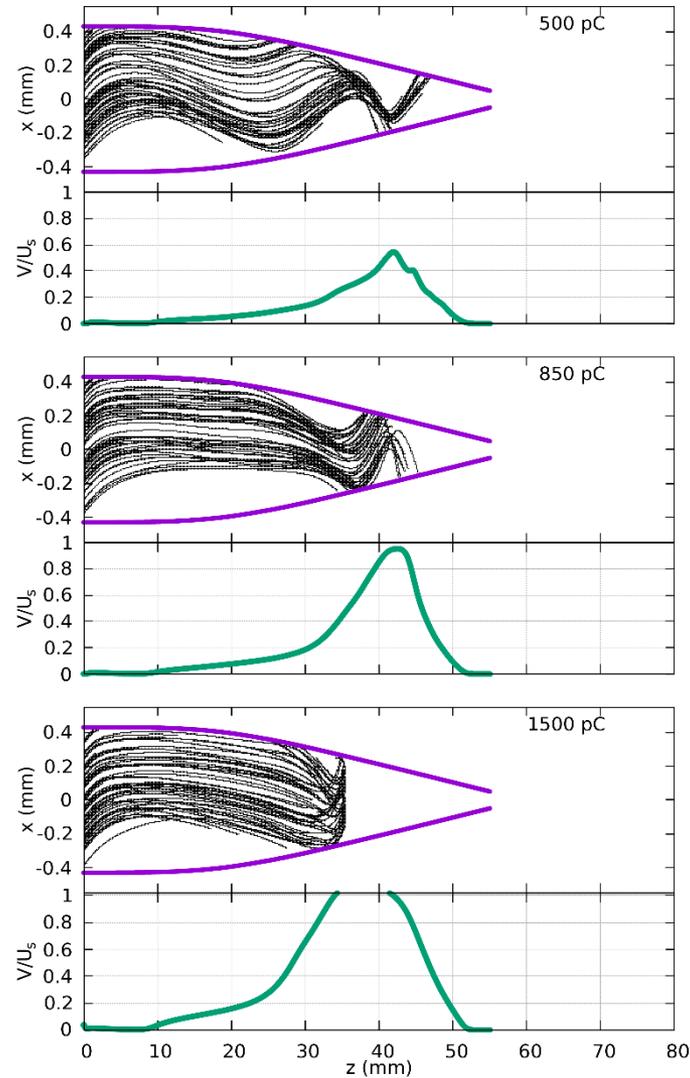
Transmission is  
Coulomb blocked,

$$V > U_s$$

# Influence of the beam emittance



# Influence of the tilt angle



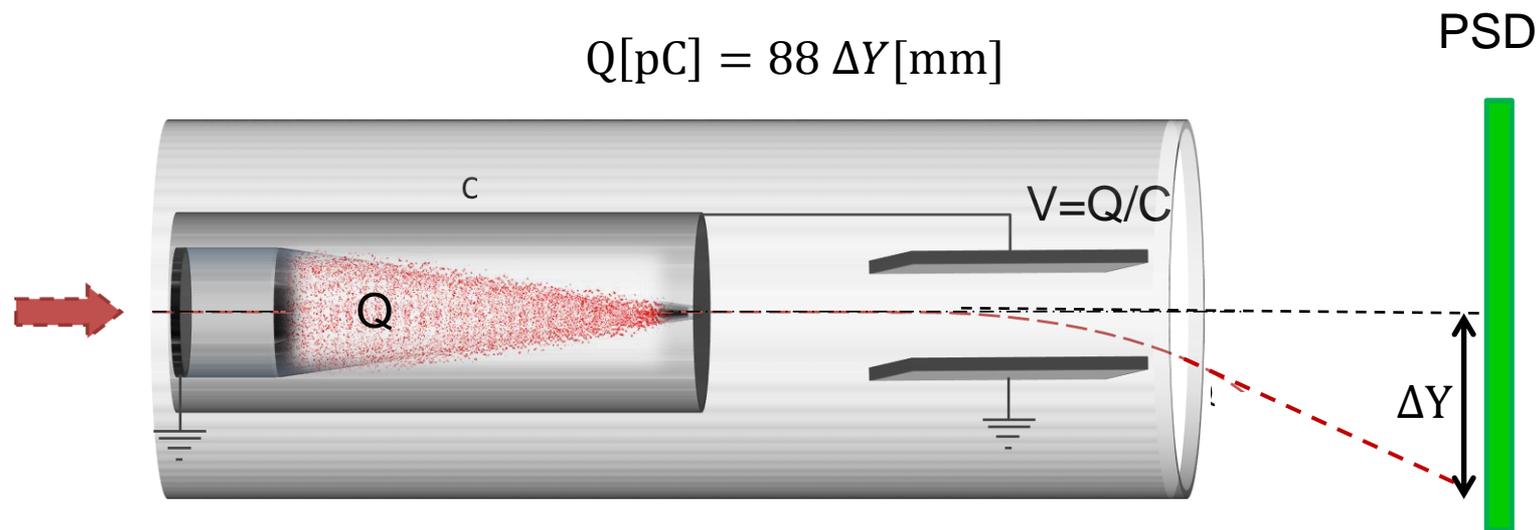


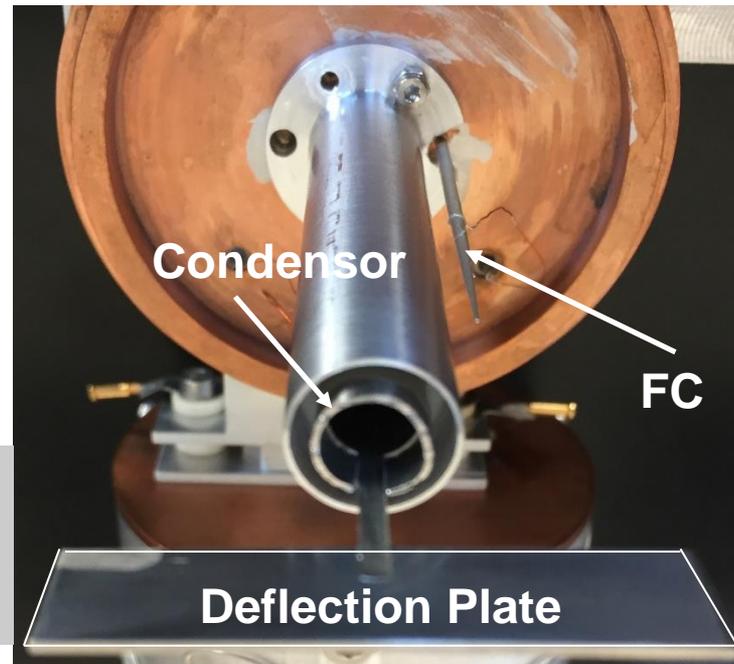
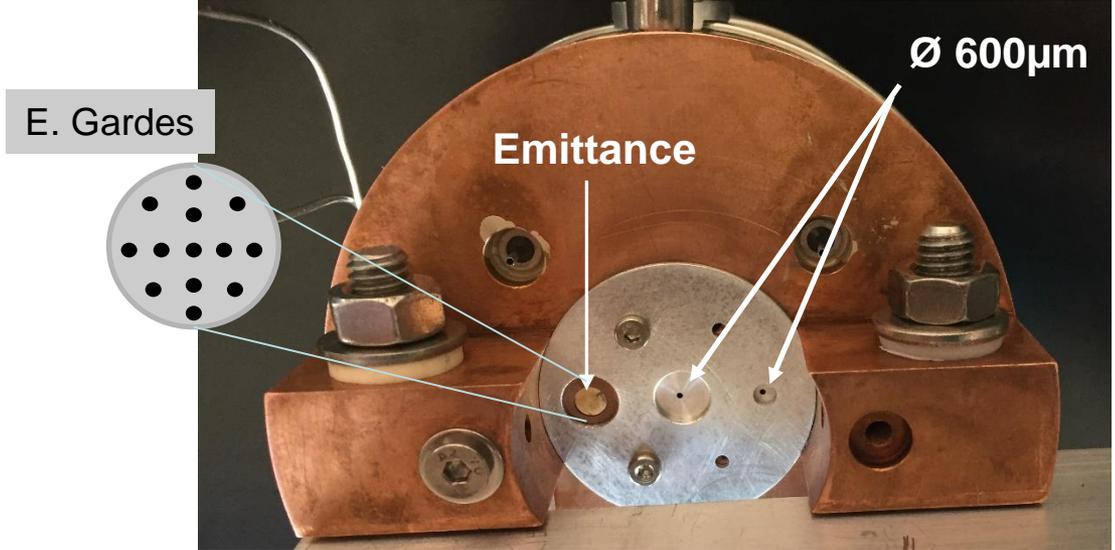
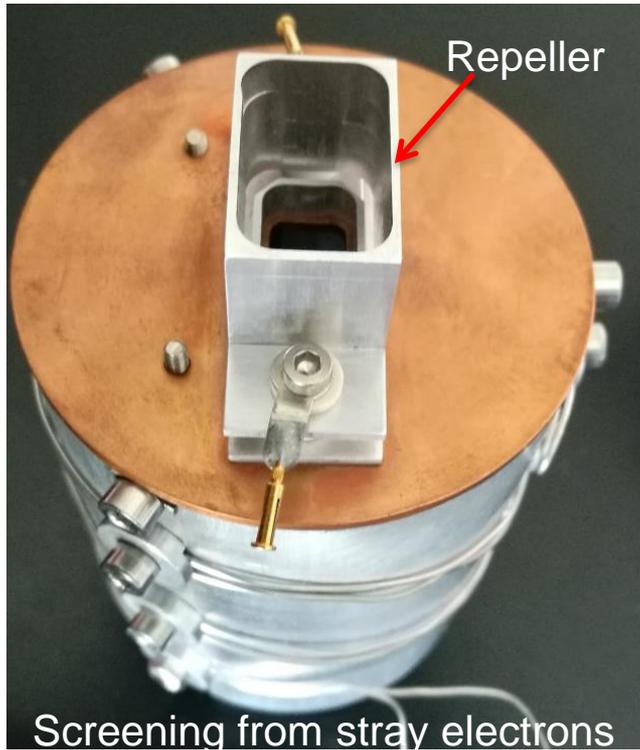
1) Write a numerical code that makes **reliable quantitative predictions** for the beam transport through capillaries

2) Design new capillary holder in order to show experimentally the lens effect

## Features of new capillary holder

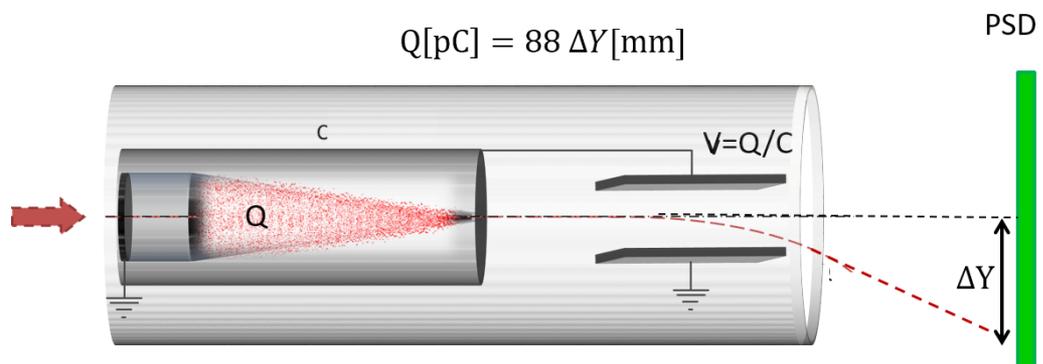
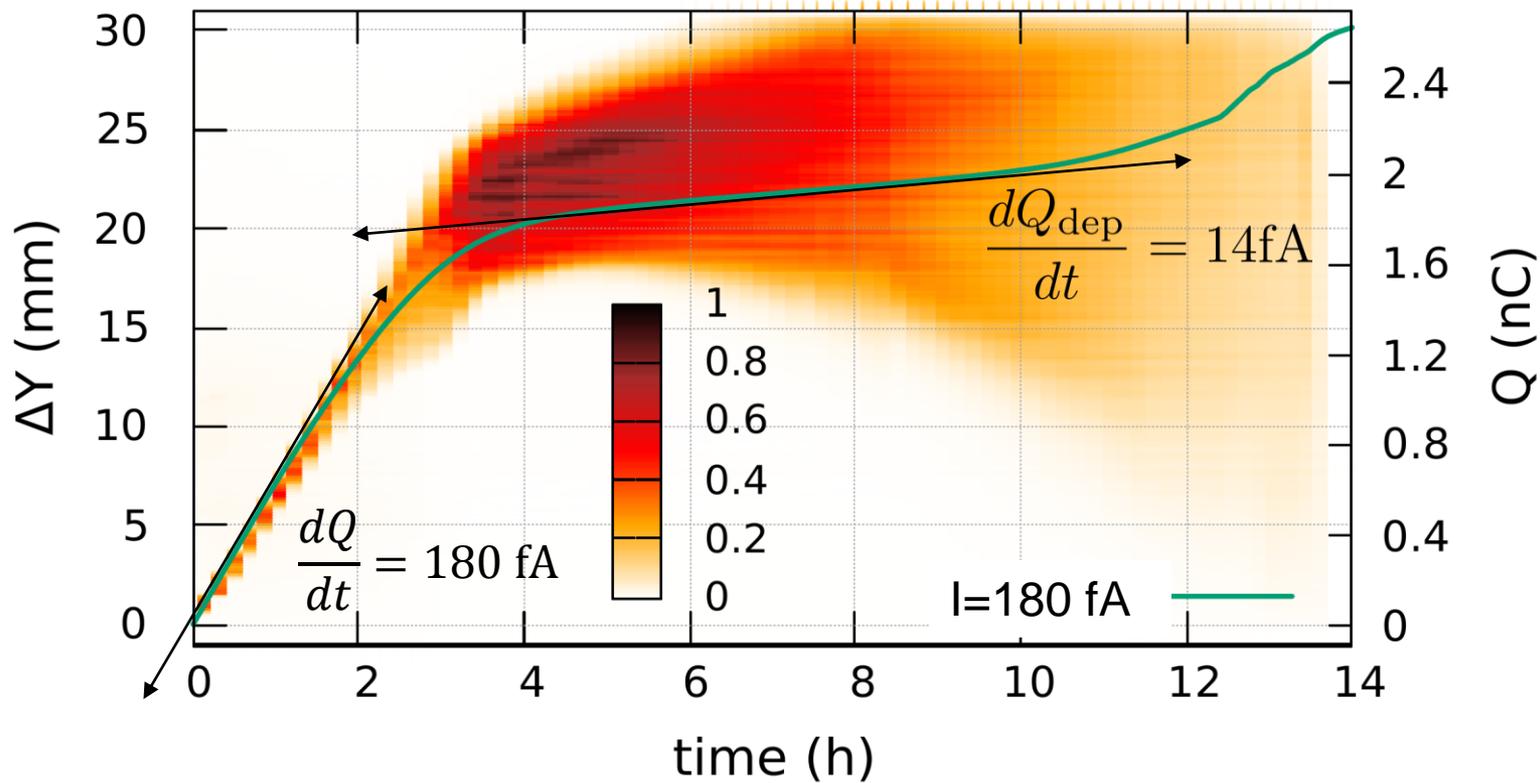
- Allow measuring the emittance and intensity of the injected beam
- Screen capillary from stray electrons
- Heat capillary up to 70°C
- Axis symmetric geometry that can be truthfully simulated by InCa4D
- Allow measuring the charge stored in the capillary as a function of time



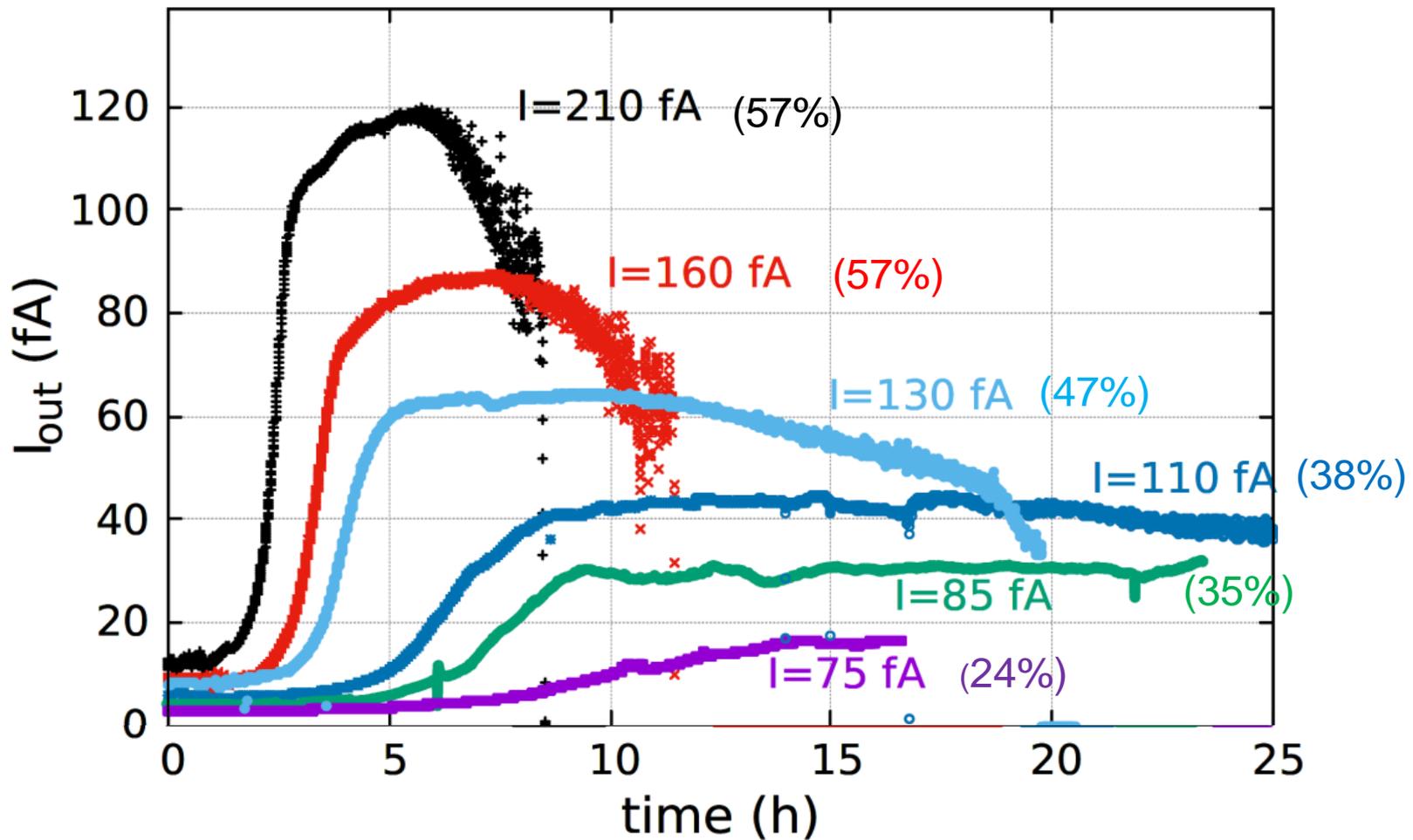


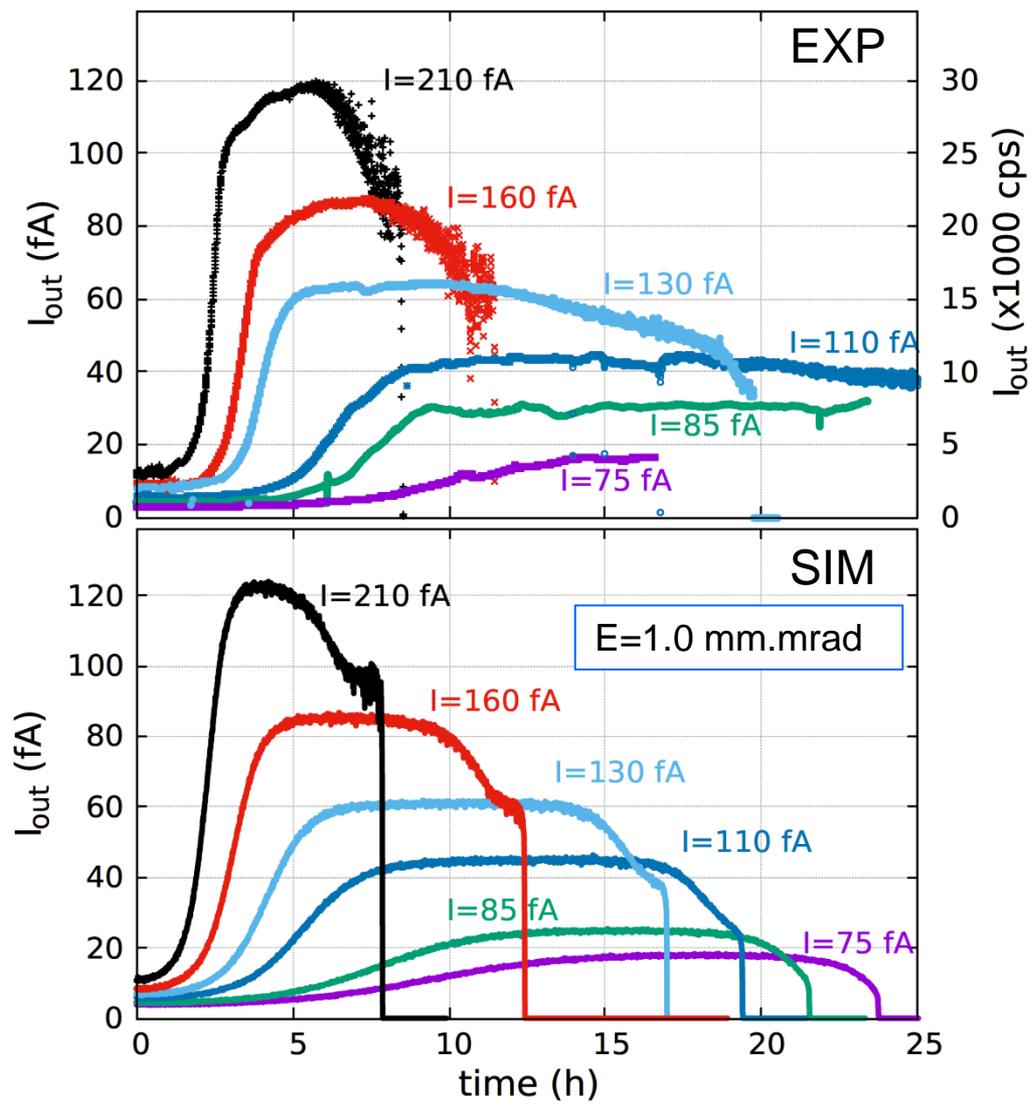
S. Guillous

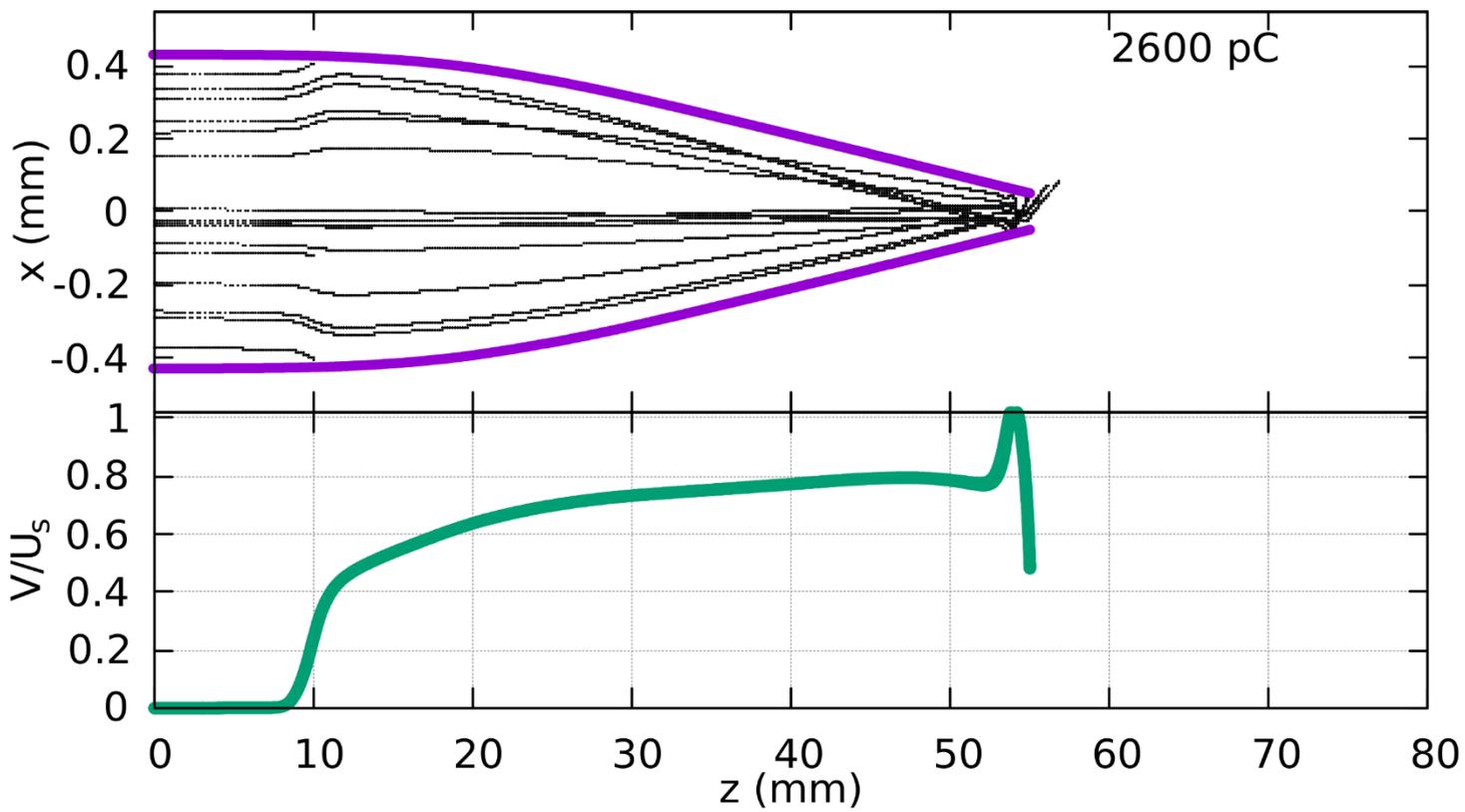
Big Thank to our mechanics  
 T. Been,  
 P. Guinement,  
 J.-M. Ramillon



# Transmitted Intensity as a function of time

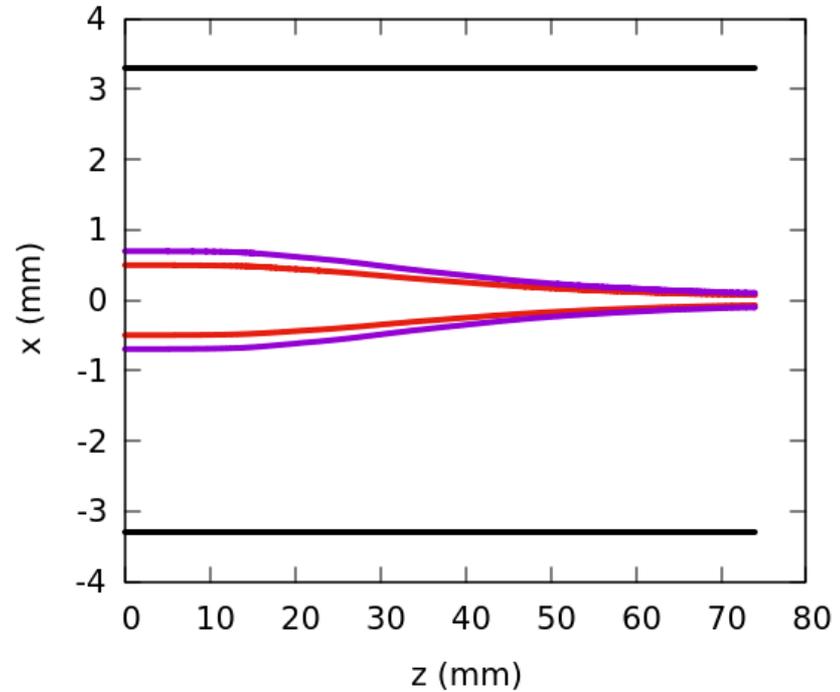




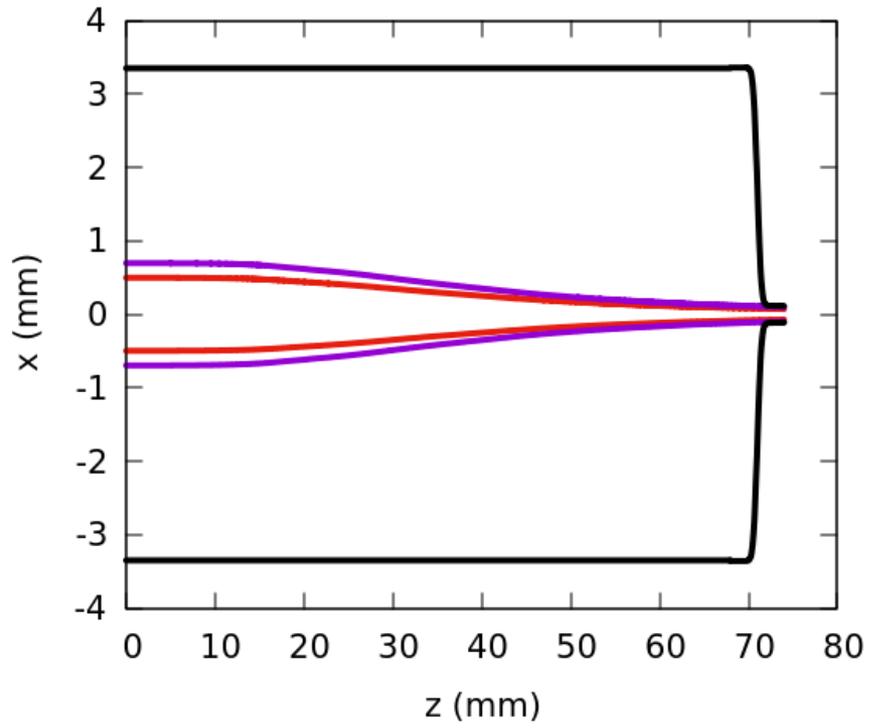


# Avoid Coulomb blocking

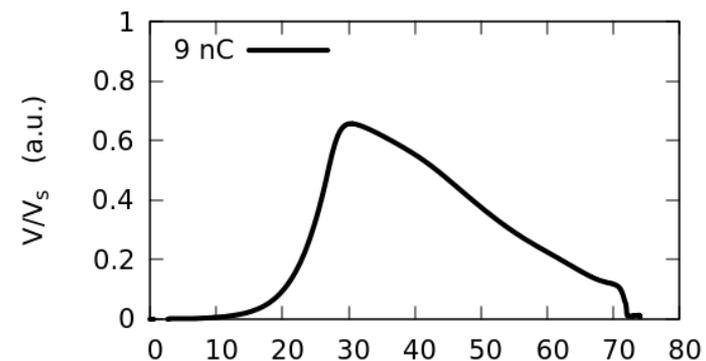
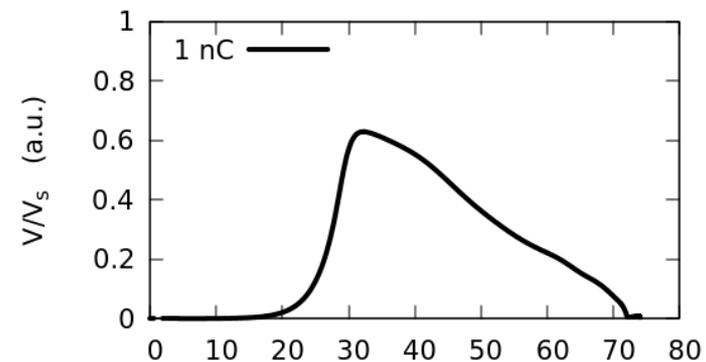
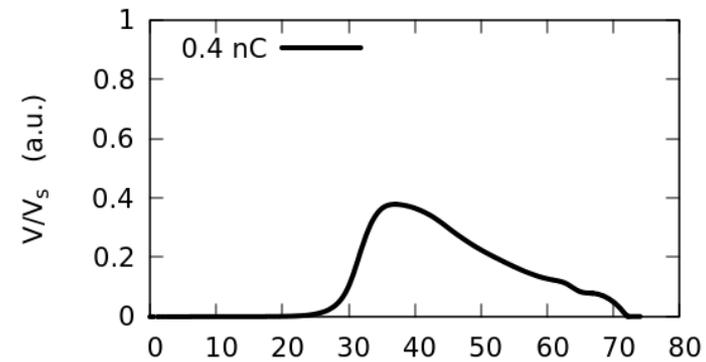
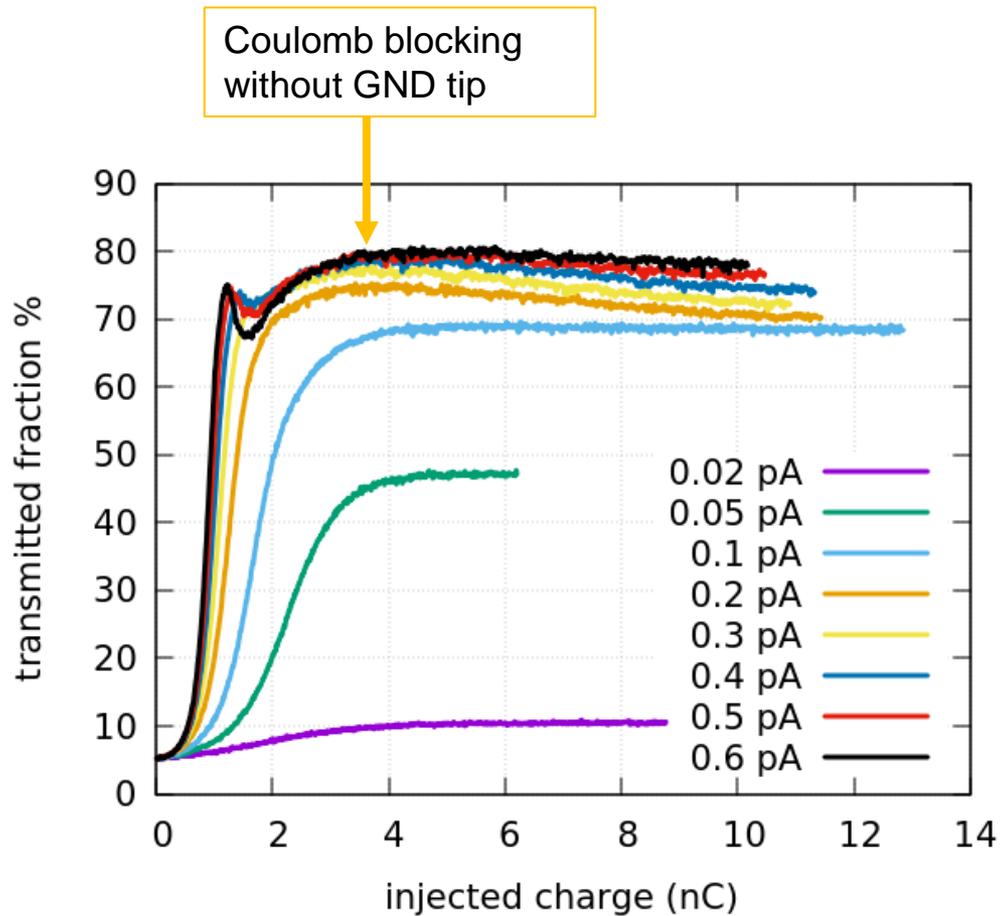
Old setup



New setup



# Avoid Coulomb blocking



# Conclusion and Perspectives

- Tapered capillaries are able to focus ion beams like electrostatic lenses.
- Coulomb blocking seems unavoidable for capillaries because of the non-zero emittance of the beam.
- Simulations suggest that Coulomb blocking can be delayed or even hindered if the tip is grounded.
- This opens up a new application for using tapered capillaries for producing micro-beams
- Experimental evidence for stable micro-beams will be the next step (which was unfortunately delayed and could not be shown here due to the confinement)



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A. Cassimi



Hongqiang H.Q. Zhang  
School of Nuclear Science and Technology, Lanzhou University, Lanzhou, China

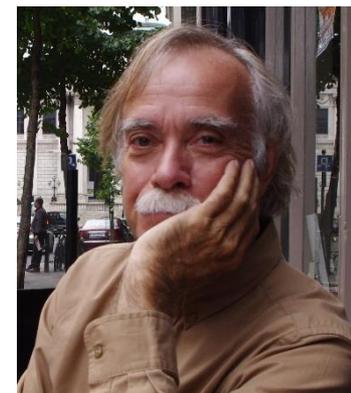
# Co-Workers



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